

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Tallone et al.  
Serial No.: herewith  
Filed: herewith  
For: A MOUNTING ARRANGEMENT FOR OPTICAL  
COMPONENTS  
Art Unit: Not yet assigned  
Examiner: Not yet assigned  
Attorney Docket No.: 36030312 US02  
Customer No.: 27,623

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, being filed concurrently herewith. This Information Disclosure Statement is being filed:

XXX Within three (3) months of the filing date of the national application;

\_\_\_\_\_ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

\_\_\_\_\_ Before the mailing date of a first Office Action on the merits;

\_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

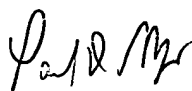
After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and

After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(l)(1).

Also enclosed are copies of U.S. Patent Nos. 5,978,531; 6,445,857; 2001/0033716; 2002/0096686; 2002/0031307; European Patent Nos. EP 1 202 084 and EP 0 778 478; Japanese Publication Nos. 200347050; 11167043; 10332992; 10307221; 10282350 and 51282803 and British Search Report Application No. 0307492.9.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



March 26, 2004

Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, CT 06901-2682  
(203) 327-4500

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number (Optional)	Application Number
	36030312 US02	Not yet assigned
	Applicant	
	Tallone et al.	
Filing Date	Group Art Unit	
herewith	Not yet assigned	

### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,978,531	11/2/99	Funabashi	385	45	
	6,445,857	9/3/02	Korenaga et al.	385	52	
	2001/0033716	10/25/01	Fukutomi	385	49	
	2002/0096686	7/25/02	Raj et al.	257	79	
	2002/0031307	3/14/02	Kimura	385	49	

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	EP 1 202 084	02.05.02	EUROPE			X	
	EP 0 778 478	11.06.97	EUROPE			X	
	JP200347050	3.06.99	JAPAN				X
	JP11167043	22.06.97	JAPAN				X
	JP61282803	13.12.85	JAPAN				X
	JP10332992	18.12.98	JAPAN				X
	JP10307221	17.11.98	JAPAN				X
	JP10282350	10.04.97	JAPAN				X

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Ross, Chris, British Patent Application Number GB 0307492.9 dated July 28, 2003.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.